

ZERODHA CAPITAL PRIVATE LIMITED
ANNUAL REPORT 2020- 2021

Corporate Information

Notice

Audit Report

Financials

Notes to Accounts

Directors Report

Annual Extract- MGT-9

ZERODHA CAPITAL PRIVATE LIMITED

DIRECTORS:

Nithin Kamath	-	Director
Nikhil Kamath	-	Director
Seema Patil	-	Director
Venu Madhav Kota Subramanya	-	Director
Abdul Hanan Delvi	-	Director

COMPANY SECRETARY:

Meetal T Jain

REGISTERED OFFICE ADDRESS:

No.153/154, 4th Cross, 4th Phase,
J.P Nagar Bangalore-560078, Karnataka, India.

AUDITORS:

M/s Tarai & Co
Chartered Accountants
47/1, Boco House 6th Main, Appu Rao Road,
Near Rastrothama Parishat, Chamrajpet,
Benagluru-560018, Karnataka, India.

Regd. Office: No. 153/154, 4th Cross, 4th Phase, J.P Nagar, Bangalore- 560078, Karnataka, India;
CIN: U67100KA2014PTC073653; Email: audit@zerodha.com; Contact No: 080-49132020;
Website: www.zerodhacapital.com.

ZERODHA CAPITAL PRIVATE LIMITED

NOTICE

SHORTER NOTICE is hereby given that the Eighth Annual General Meeting of the Members of M/s. ZERODHA CAPITAL PRIVATE LIMITED will be held on Monday 21st of June 2021 at 2:30 P.M at the Registered Office of the Company at No. 153/154, 4th Cross, 4th Phase, J.P Nagar, Bangalore- 560078, Karnataka, India to transact the following business:

ORDINARY BUSINESS:

1. To receive, consider and adopt the Balance Sheet as at 31st March, 2021 and the annexed Profit and Loss Account for the period ended as on that date together with the Report of Director's and Auditor's thereon.
2. To consider and if thought fit to pass with or without modification(s) the following resolution as an ordinary resolution:

"RESOLVED THAT pursuant to the provisions of Section 139 and 142 and other applicable provisions, if any, of Companies Act'2013 and Rules framed there under, as amended from time to time, M/s. TARAI & Co, Chartered Accountants, Bangalore (FRN: 022166S), be and is hereby appointed as Auditors of the company to hold office from the conclusion of Eighth annual general meeting (AGM) till the conclusion of 13th AGM of the Company to be held for Financial Year 2025-26 at such remuneration plus goods and service tax as applicable, out of pocket, travelling and living expenses etc., as may be mutually agreed between the board of directors of the company and the auditors".

RESOLVED FURTHER THAT, any of the directors of the company be and is hereby authorised to do all such acts, deeds and things which may be deemed necessary and expedient to give effect to the above resolution".

By Order of the Board
For Zerodha Capital Private Limited



Nithin Kamath
Director (DIN: 03142935)
(Address: No 44, Classic Orchards,
Kothanur Village, Uttarahalli Hobli,
Subramanyapura, Bengaluru - 560061)

Place: Bangalore
Date: 05/06/2021

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NOTES:

1. *A Member entitled to attend and vote at the Annual General Meeting ('AGM') may appoint a proxy to attend and vote on his behalf. A proxy need not be a Member of the Company.*
2. *The Explanatory Statement pursuant to Section 102 of the Companies Act, 2013 (Act) is not applicable as there is no any special business to transact during the year.*
3. *Route map of the AGM venue has not been attached as the Shareholders and Directors are well aware of the Address of the Company.*
4. *Corporate Members intending to send their authorised representative to attend the meeting are requested to send a certified copy of the Board Resolution to the Company, authorizing their representative to attend and vote on their behalf at the meeting.*

ZERODHA CAPITAL PRIVATE LIMITED

DIRECTOR'S REPORT

To the Members,

Your directors have pleasure in presenting the Eighth Annual Report together with the Audited Financial Statements for the Financial Year ended 31st March 2021.

EXTRACT OF THE ANNUAL RETURN:

In accordance with the Companies Act, 2013 the annual return in the prescribed format is available at

Website link <https://zerodhacapital.com/>

FINANCIAL RESULTS.

The performance of the Company for the financial year ended 31st March, 2021 is summarized below:

Particulars	Standalone	
	2020-21	2019-20
Revenue from Operations	50,41,036	47,09,551
Other Income	4,917	1,133
PBITD	10,92,555	(7,85,872)
Interest	Nil	236
Depreciation	2,40,000	2,40,000
PBT	10,92,555	(7,86,108)
Current Tax	Nil	Nil
Deferred Tax	2,37,921	(95,596)
Total Tax Expenses	2,51,862	(95,596)
Minority Interest	NIL	NIL
Net Profit/ (Loss) for the Period After tax.	8,40,692	(6,90,512)
Earnings Per Share (Basic)	0.17	(0.14)
Earnings per Share (Diluted)	0.15	(0.14)

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ZERODHA CAPITAL PRIVATE LIMITED

OVERVIEW OF FINANCIAL PERFORMANCE.

a) Standalone basis:

During the year, your Company achieved a total revenue of Rs 50,41,036 as against the previous year total revenue of Rs 47,09,551 an Increase of 7.04% %. Profit of the Company for the year ended 31.03.2021 is Rs. 6,72,554 as against the previous year Loss of Rs.6,90,512.

During the year under review, there is no change in the nature of the business of the Company. The affairs of the Company are conducted in accordance with the accepted business practices and within the purview of the applicable legislations.

TRANSFER TO RESERVES

According to Section 45 IC of the Reserve Bank of India Act 1934, every non-banking financial company shall create a reserve fund and transfer therein a sum not less than twenty per cent of its net profit every year as disclosed in the profit and loss account and before any dividend is declared. Accordingly the Company has transferred an amount of Rs. 1,68,138 to Statutory Reserve Account in accordance with Section 45 IC of the Reserve Bank of India Act, 1934.

DIVIDEND

The Board, in view of conserving the financial resources and the risk of business ahead due to COVID 19, due to loss incurred by the Company, has not recommended any dividend for the year.

THE CHANGE IN THE NATURE OF BUSINESS, IF ANY

There is no Change in the nature of the business of the Company during the year.

MATERIAL CHANGES AND COMMITMENTS

There were no material changes and commitments affecting the financial position of the Company that have occurred between the end of the Financial Year 2020-21 of the Company and the date of the report.

DEPOSITS

Your Company has not accepted any fixed deposits and as such no principal or interest was outstanding as on the date of the Balance sheet.

ZERODHA CAPITAL PRIVATE LIMITED

BOARD MEETINGS

The Board met Five (5) times during the Financial Year. The maximum interval between any two meetings did not exceed 120 days, as prescribed in the Companies Act, 2013.

Meetings were conducted on 21/04/2020, 16/06/2020, 11/09/2020, 10/11/2020 and 01/02/2021

The names of the Members of the Board and their attendance at the Board Meetings are as under

SL.NO	Name of Directors	Number of Meetings attended/ Number of meetings held during the year
1.	Nithin Kamath	5/5
2.	Nikhil Kamath	5/5
3.	Seema Patil	5/5
4.	Venu Madhav Kota Subramanya	5/5
5.	Abdul Hanan Delvi	4/5

SHARE CAPITAL

On 09/11/2020, vide Extra- Ordinary General Meeting the Authorised Share Capital of the Company was reclassified as,

- The Authorized Share capital of the Company is Rs. 10,00,00,000 (Rupees Ten Crores Only) divided into 80,00,000 (Eighty Lakhs Only) Equity Shares of Rs. 10 each and 20,00,000 (Twenty Lakhs Only) Preference shares of Rs. 10 Each

The Company issued 5,78,130 Compulsorily Convertible Preference Shares at a price of Rs. 302.7 (Face Value = Rs.10, and premium = Rs.292.70). The Paid up share Capital Pursuant to the Issue is,

- The Paid-up Share Capital is Rs.5,57,81,300 (Rupees Five Crores Fifty Seven Lakh Eighty One Thousand Three Hundred) divided into 50,00,000 Equity Shares of Rs. 10 Each and 5,78,130 Preference Shares of Rs. 10 Each.

DIRECTORS AND KEY MANAGERIAL PERSONNEL

During the year, there were no changes in Board of Directors of the Company

Sl. No	Name of the Directors	Designation
1	Nithin Kamath	Director
2	Nikhil Kamath	Director
3	Seema Patil	Director
4	Venu Madhav Kota Subramanya	Director
5	Abdul Hanan Delvi	Director

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RISK MANAGEMENT POLICY

In terms of the requirement of Section 134 (3) (n) of the Companies Act, 2013, the Company has developed and implemented the Risk Management Policy. Your Company believes that managing risks helps in maximizing returns. The Company's approach to addressing business risks is comprehensive and includes periodic review of such risks and a framework for mitigating risks and reporting mechanism of such risks. The risk management framework is reviewed periodically by the Board.

PARTICULARS OF LOANS, GUARANTEES OR INVESTMENTS MADE UNDER SECTION 186 OF THE COMPANIES ACT, 2013

Since the Company is into NBFC Activities and is Registered as NBFC-ND it is exempted from complying with the Provisions of the Section 186 of the Companies Act 2013.

RELATED PARTY TRANSACTIONS

All related party transactions that were entered into during the financial year ended 31st March, 2021 were on an arm's length basis and were in the ordinary course of business. Therefore, the provisions of Section 188 of the Companies Act, 2013 were not attracted. Further, there are no materially significant related party transactions during the year under review made by the Company with Promoters, Directors, or other designated persons which may have a potential conflict with the interest of the Company at large. Thus, disclosure in Form AOC-2 is not required. However, the disclosure of transactions with related party for the year, as per Accounting Standard -18 Related Party Disclosures is given in Notes to the Balance Sheet as on 31st March, 2021.

DIRECTORS' RESPONSIBILITY STATEMENT

Pursuant to Section 134(5) of the Companies Act, 2013, your Directors confirm that to the best of their knowledge and belief and according to the information and explanation obtained by them,

- i) In the preparation of the annual accounts, the applicable accounting standards have been followed along with proper explanation relating to material departures;
- ii) Such accounting policies as mentioned in the notes to the financial statements have been selected and applied consistently and judgments and estimates that are reasonable and prudent made so as to give a true and fair view of the state of affairs of the company at the end of the Financial Year 2020-21 and of the profit or loss of the Company for that period;
- iii) Proper and sufficient care has been taken for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act, 2013 for safeguarding the assets of the Company and for preventing and detecting fraud and other irregularities;
- iv) The annual accounts for the year 2020-21 have been prepared on a going concern basis;

ZERODHA CAPITAL PRIVATE LIMITED

- v) That proper internal financial controls were in place and that the financial controls were adequate and were operating effectively;
- vi) That systems to ensure compliance with the provisions of all applicable laws were in place and were adequate and operating effectively.

STATUTORY AUDITOR

The Auditor Mr. Bijay Kumar Tarai proprietor of M/s. Tarai & Co, Chartered Accountants, Bangalore (FRN: 022166S) was appointed as Statutory Auditor hold office until the conclusion of the ensuing Annual General Meeting.

The Board proposes to appoint him as Statutory Auditor for the period of 5 years to hold office from the end of the upcoming AGM till the Conclusion of AGM to be held in the year 2026.

RBI GUIDELINES

The Company continues to fulfill all the norms and standards laid down by the RBI pertaining to non-performing assets, capital adequacy, statutory liquidity assets, etc. In line with the RBI guidelines for asset liability management (ALM) system for NBFCs, the Company has an Asset Liability Committee, to review its ALM risks and opportunities.

DETAILS OF SUBSIDIARY/JOINT VENTURES/ASSOCIATE

Pursuant to sub-section (3) of section 129 of the Act, company does not have any subsidiary or subsidiaries, associate company or joint venture or ventures company.

BOARD'S COMMENT ON AUDITORS REPORT

The observations of the auditors in their report read together with the notes on Accounts are self-explanatory and therefore, in the opinion of the directors, do not call for any further explanation.

There are no qualifications, reservations or adverse remarks on the Auditor's Report.

BOARD'S COMMENTS ON QUALIFICATIONS, RESERVATION & ADVERSE REMARKS OR DISCLAIMER MADE BY STATUTORY AUDITORS.

Observation made by the Statutory Auditors in their Report are self-explanatory and therefore, do not call for any further comments under Section 134 (3) (f) of the Companies Act, 2013.

There are no qualifications, reservations or adverse remarks on the Auditors' Report.

DETAIL OF FRAUD AS PER AUDITORS REPORT

There were no frauds as reported by the Statutory Auditors in their auditor's report for the year ending 31st March 2021, under section 143 (12) of the Act.

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BOARDS COMMENT ON THE COMPANY NOT MEETING THE FINANCIAL ASSETS CRITERIA OF 50%

As per press release 1998-99/1269 dated April 8 1999 and Circular No. DNBS (PD) C.C.No.81/03.05.002/2006-07 dated 19th October, 2006 issued by RBI, every Non-Banking Financial Company must meet the Principal Business Criteria (Financial asset/income pattern).

Financial Asset Criteria: Financial Assets must constitute more than 50% of company's total assets.

Financial Income Criteria: Financial Income or income derived from financial activities must be more than 50% of the total income of the company.

Due to the adverse situation created by Covid-19 pandemic, the company was not able to deploy funds in financing activities and hence were held as bank balances. The percentage of financial asset to total assets is not complied only on account of unutilized funds held in the bank and the Company is looking for an opportunity to deploy the funds appropriately.

The above is a temporary one and necessary steps are being taken to improve the financial asset to total asset ratio.

PREVENTION OF SEXUAL HARASSMENT POLICY

The Company's policy on prevention of sexual harassment of women provides for the protection of women employees at the work place and for prevention and Redressal of such complaints. An Internal Complaints Committee has been set up to redress complaints received regarding sexual harassment. All employees (permanent, contractual, temporary, trainees) are covered under this policy. The Company has not received any complaint on sexual harassment during the year.

CORPORATE SOCIAL RESPONSIBILITY (CSR):

During the year under review, the provisions of Sec 135 of the Companies Act, 2013 are not applicable to your company.

STATUTORY DISCLOSURES

A. Conservation of Energy

The Company's operations are not energy-intensive and as such involve low energy consumption. However, adequate measures have been taken to conserve the consumption of energy.

B. Technology Absorption

Operations of the company do not involve any kind of special technology and there was no expenditure on research & development during this financial year. However, your company

ZERODHA CAPITAL PRIVATE LIMITED

continues to upgrade its technology (computer technology and telecom infrastructure) in ensuring it is connected with its clients across the globe.

C. Foreign Exchange Earnings and outgo

The Foreign Exchange earnings and outgo during the financial period ended 31st March, 2021, is as follows:

Particulars	31 st March, 2021	31 st March, 2020
Foreign Exchange Earnings	NIL	NIL
Foreign Exchange Outgo	NIL	NIL

D. Human Resource Development and Industrial Relations

Your Company continues to take new initiatives to further align its HR policies to meet the growing needs of its business. People development continues to be a key focus area of the Company. The industrial relations in all the units of the Company remained cordial and peaceful throughout the year.

INTERNAL FINANCIAL CONTROL SYSTEMS & THEIR ADEQUACY

The Board has adopted policies and procedures for ensuring the orderly and efficient conduct of its business, including adherence to the Company's policies, safeguarding of its assets, prevention and detection of frauds and errors, accuracy and completeness of the accounting records, and timely preparation of reliable financial disclosures.

MAINTENANCE OF COST RECORDS

Maintenance of cost records as specified by the Central Government under sub-section (1) of section 148 of the Companies Act, 2013, is not applicable to the Company.

SIGNIFICANT AND MATERIAL ORDERS PASSED BY THE REGULATORS OR COURTS

There are no significant or material orders passed by the Regulators/Courts which would impact the going concern status of the Company and its future operations.

TRANSFER OF AMOUNT TO INVESTOR EDUCATION AND PROTECTION FUND

Your company did not have any funds lying unpaid or unclaimed for a period of seven years. Therefore, there were no funds which were required to be transferred to Investor Education and Protection Fund (IEPF).

SECRETARIAL STANDARDS

The Company has complied with the secretarial standards issued by Institute of Company Secretaries of India.

ZERODHA CAPITAL PRIVATE LIMITED

ACKNOWLEDGMENTS

Your Directors take this opportunity to express their sincere appreciation to the shareholders, customers, bankers, suppliers and other business associates for the excellent support and cooperation extended by them. Your Directors gratefully acknowledge the ongoing co-operation and support provided by the Central and State Governments, Stock Exchanges, SEBI, RBI and other Regulatory Bodies. We place on record our appreciation of the contribution made by employees at all levels. Our consistent performance was made possible by their hard work, solidarity, co-operation and support.

For and on behalf of the Board of Directors of
Zerodha Capital Private Limited



Nithin Kamath
(DIN: 03142935)
Director



Nikhil Kamath
(DIN: 03142938)
Director



Meetal T Jain
Company Secretary

Place: Bangalore
Date: 05/06/2021

ZERODHA CAPITAL PRIVATE LIMITED

Form No. MGT-9
EXTRACT OF ANNUAL RETURN
ZERODHA CAPITAL PRIVATE LIMITED
As on the financial year ended on 31.03.2021

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS		
i)	CIN:	U67100KA2014PTC073653
ii)	Registration Date:	14/02/2014
iii)	Name of the Company	ZERODHA CAPITAL PRIVATE LIMITED
iv)	Category/ Sub- Category of the Company	Non-Government Company/ Company Limited by Shares
v)	Address of the Registered Office and Contact details.	No. 153/154, 4 th Cross, 4 th Phase, J.P Nagar, Bangalore-560078, Karnataka, India
vi)	Whether Listed Company	No
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Not Applicable.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated: -

Sl. No	Name and Description of main Products/ Services	NIC Code of the Product/ service	% total turnover of the company
1.	Activities auxiliary to financial service activities n.e.c.	66190	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No	Name And Address Of The Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
01	Straddle Capital private Limited	U67110KA2013PTC069029	Holding Company	98.94%	2(46)

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IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category Wise Share Holding.								
Category of Shareholders.	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year			
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares
A. Promoters								
(1) Indian								
(a) Individual/ HUF		23,200	23,200	0.46%	Nil	23,200	23,200	0.46%
(b) Central Government								
(c) State Government								
(d) Bodies Corporate.		49,47,060	49,47,060	98.94%	Nil	49,47,060	49,47,060	98.94%
(e) Banks / FI								
(f) Any other								
Sub-total (A) (1)		49,70,260	49,70,260	99.40%		49,70,260	49,70,260	99.40%
(2) Foreign								
a) NRI Individuals								
b) Other Individuals								
c) Bodies Corporate.								
d) Any other								
Sub-total (A) (2)								
Total Shareholding of Promoter (A)=(A)(1)+(A)(2)		49,70,260	49,70,260	99.40%		49,70,260	49,70,260	99.40%
B. Public Shareholding								
(1) Institutions								
a) Mutual Funds								
b) Banks/ FI								
c) Central Government								
d) State Government								
e) Venture Capital Funds								
f) Insurance Companies								
g) FII'S								

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h) Foreign Venture Capital Funds								
i) Others (Specify)								
Sub-total (B)(1):								
(2) Non Institutions								
a) Bodies Corporate		5,940	5,940	0.12%		5,940	5,940	0.12%
i) Indian								
ii) Overseas								
b) Individuals		23,800	23,800	0.48%		23,800	23,800	0.48%
c) Others								
Body Corporate								
Clearing Members								
Non Resident Indians								
Non-Repatriable								
Repatriable Basis								
Trusts								
Sub-total (B)(2):		29,740	29,740	0.60%		29,740	29,740	0.60%
Total Public Shareholding (B)= (B)(1)+(B)(2)		29,740	29,740	0.60%		29,740	29,740	0.60%
C. Shares held by Custodian for GDRs & ADRs								
Grand Total (A+B+C)		50,00,000	50,00,000	100%		50,00,000	50,00,000	100%

ii) Shareholding of Promoters

Sl No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Pledged/encumbered to total	
1	Hanan Abdul Delvi	5,800	0.12%	NIL	5,800	0.12%	NIL	NIL
2	Venu Madhav K S	9,280	0.19%	NIL	9,280	0.19%	NIL	NIL
3	Straddle Capital Private Limited	49,47,060	98.94%	NIL	49,47,060	98.94%	NIL	NIL

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4	Nithin Kamath	3,480	0.07%	NIL	3,480	0.07%	NIL	NIL
5	Nikhil Kamath	2,320	0.05%	NIL	2,320	0.05%	NIL	NIL
6	Seema Patil	2,320	0.05%	NIL	2,320	0.05%	NIL	NIL
	Total	49,70,260	99.40%	NIL	49,70,260	99.40%	NIL	NIL

iii) Change in Promoters/Promoters Group Shareholding

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the company
	At the beginning of the year equity etc.)	49,47,060	98.94%	49,47,060	98.94%
	At the end of the year	49,47,060	98.94%	49,47,060	98.94%
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease	No Change in the Shareholding of the Promoters during the Current Year.			

iv) Shareholding Pattern of top ten Shareholders (other than Directors, promoters and Holders of GDRs and ADRs):

Name: Balakumaran Nair Kailash Nadh

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the company
	At the beginning of the year equity etc.)	23,800	0.48%	23,800	0.48%
	At the end of the year	23,800	0.48%	23,800	0.48%
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease.	No Change in the Shareholding of the Promoters during the Current Year.			

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Name: Austin Global Ventures Private Limited

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the company
	At the beginning of the year equity etc.)	5,940	0.12%	5,940	0.12%
	At the end of the year	5,940	0.12%	5,940	0.12%
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease.	No Change in the Shareholding of the Promoters during the Current Year.			

V. SHARE HOLDING PATTERN (Preference Share Capital Breakup as percentage of Total Preference Capital)

v) Category Wise Share Holding.								
Category of Shareholders.	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year			
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares
C. Promoters								
(1) Indian								
(a) Individual/ HUF								
(b) Central Government								
(c) State Government								
(d) Bodies Corporate.								
(e) Banks / FI								
(f) Any other								
Sub-total (A)								
(2) Foreign								
a) NRI Individuals								
b) Other Individuals								
c) Bodies Corporate.								
d) Any other								
Sub-total (A)								

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Total Shareholding of Promoter (A)=(A)(1)+(A)(2)								
B. Public Shareholding								
(1) Institutions								
a) Mutual								
b) Banks/ FI								
c) Central Government								
d) State Government								
e) Venture Capital Funds								
f) Insurance Companies								
g) FII'S								
h) Foreign Venture Capital Funds								
i) Others (Specify)								
Sub-total								
(2) Non Institutions								
a) Bodies Corporate								
i) Indian		5,78,130	5,78,130	100%		5,78,130	5,78,130	100%
ii) Overseas								
b) Individuals								
c) Others								
Body Corporate								
Clearing Members								
Non Resident Indians								
Non Repatriable								
Repatriable								
Trusts								
Sub-total		5,78,130	5,78,130	100%		5,78,130	5,78,130	100%

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Total Public Shareholding (B)= (B)(1)+(B)(2)		5,78,130	5,78,130	100%		5,78,130	5,78,130	100%
C. Shares held by Custodian for GDRs & ADRs								
Grand Total (A+B+C)		5,78,130	5,78,130	100%		5,78,130	5,78,130	100%

vi) Shareholding of Directors and Key Managerial Personnel:

Name: VENU MADHAV KOTA SUBRAMANYA

DIN: 06686794

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	9,280	0.19%	9,280	0.19%
	Date-wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/decrease.	No change in the shareholding pattern of the Director during the year			
	At the End of the year	9,280	0.19%	9,280	0.19%

Name: ABDUL HANAN DELVI

DIN: 06687440

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the	
		No. of shares	% of total shares of the	No. of shares	% of total shares of the company
	At the beginning of the year	5,800	0.12%	5,800	0.12%
	Date-wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/decrease.	No change in the shareholding pattern of the Director during the year			
	At the End of the year	5,800	0.12%	5,800	0.12%

Regd. Office: No. 153/154, 4th Cross, 4th Phase, J.P Nagar, Bangalore- 560078, Karnataka, India;
CIN: U67100KA2014PTC073653; Email: audit@zerodha.com; Contact No: 080-49132020;
Website: www.zerodhacapital.com.

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Name: NITHIN KAMATH

DIN: 03142935

Sl. No.	Shareholding at the beginning of the year	Cumulative Shareholding during the
	No. of shares	% of total shares of the company
	No. of shares	% of total shares of the company
At the beginning of the year	3,480	0.0696%
Date-wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/decrease.	No change in the shareholding pattern of the Director during the year	
At the End of the year	3,480	0.0696%

Name: NIKHIL KAMATH

DIN: 03142938

Sl. No.	Shareholding at the beginning of the year	Cumulative Shareholding during the
	No. of shares	% of total shares of the company
	No. of shares	% of total shares of the company
At the beginning of the year	2,320	0.0464%
Date-wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/decrease.	No change in the shareholding pattern of the Director during the year	
At the End of the year	2,320	0.0464%

Name: SEEMA PATIL

DIN: 03441620

Sl. No.	Shareholding at the beginning of the year	Cumulative Shareholding during the
	No. of shares	% of total shares of the company
	No. of shares	% of total shares of the company
At the beginning of the year	2,320	0.0464%
Date-wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/decrease.	No change in the shareholding pattern of the Director during the year	
At the End of the year	2,320	0.0464%

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CIN: U67100KA2014PTC073653; Email: audit@zerodha.com; Contact No: 080-49132020;

Website: www.zerodhacapital.com.

ZERODHA CAPITAL PRIVATE LIMITED

VI. INDEBTEDNESS

(Indebtedness of the company including Interest outstanding/accrued but not due for payment)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	NIL	2,77,11,247	NIL	NIL
i)Principal Amount				
ii)Interest due but not paid				
iii)Interest accrued but not due				
Total (i+ii+iii)	NIL	2,77,11,247	NIL	NIL
Change in Indebtedness during the financial year	NIL		NIL	NIL
· Addition				
· Reduction		(2,77,11,247)		
Indebtedness at the end of the financial year				
i)Principal amount	NIL	NIL	NIL	NIL
ii)Interest due but not paid				
iii) Interest accrued but not paid				
Total (I + ii + iii)	NIL	NIL	NIL	NIL

VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:
NOT APPLICABLE

B. Remuneration to other directors
NOT APPLICABLE

REMUNERATION TO KEYMANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD]

NOT APPLICABLE

ZERODHA CAPITAL PRIVATE LIMITED

VIII. .PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT /COURT]	Appeal made, if any (give details)
A. COMPANY			NIL		
Penalty					
Punishment					
Compounding					
B. DIRECTORS			NIL		
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT			NIL		
Penalty					
Punishment					
Compounding					

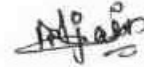
For and on behalf of the Board of Directors of
Zerodha Capital Private Limited



Nithin Kamath
(DIN: 03142935)
Director



Nikhil Kamath
(DIN: 03142938)
Director



Meetal T Jain
Company Secretary

Place: Bangalore
Date: 05/06/2021